

## WAIVER

**SRA Code of Conduct 2011, Outcomes 1.9,1.10, 1.11 & 1.13;  
SRA Indemnity Insurance Rules 2013 Rule 4,**

**LawWorks Clinics  
ID: 508167**

### Decision of Maria Round

Under powers delegated under section 79 Solicitors Act 1974 and for the purpose of facilitating the provision of legal services the Board of the Solicitors Regulation Authority hereby grants a waiver of:

- i. the SRA Code of Conduct 2011 Outcomes 1.9,1.10, 1.11 & 1.13; and
- ii. the SRA Indemnity Insurance Rules 2013 Rule 4.

The waiver is granted to the solicitors and registered European lawyer volunteers to enable them to continue to participate in the LawWorks Clinics project.

The waiver is granted subject to the following conditions:

- (a) where the solicitors or registered European lawyers are not covered by qualifying insurance, the administrators of the project (meaning the administrators of clinics which are part of the LawWorks Clinics network) are to effect adequate and appropriate indemnity insurance cover;
- (b) where the solicitors or registered European lawyers are not covered by qualifying insurance, the administrators of the project are to ensure that clients receive written notification that the Solicitors Regulation Authority's compulsory insurance scheme will not apply and appropriate information as to the arrangements in place for indemnity insurance cover;
- (c) no fees are to be charged;
- (d) no work is to be done for persons or in situations in relation to which there is a significant risk of a conflict of interest arising;
- (e) the administrators of the project are to ensure that a complaints procedure is in place to cover the work, and that contact details are given to all clients;
- (f) the administrators of the project are to put in place arrangements for overall supervision of clients' matters by suitable experienced solicitors or registered European lawyers or suitably qualified and experienced members of other legal professions;

- (g) clients are to be given the name and professional status of the person advising them or dealing with their case;
- (h) clients must be advised as to any liability the client may have for the payment of another party's costs;
- (i) the administrators of the project are to ensure that all solicitors and registered European lawyers participating in the project are given a copy of this waiver;
- (j) the administrators of the project are to notify the Solicitors Regulation Authority's Professional Ethics department in writing within 14 days of any change in the circumstances of the application;
- (k) that the waiver is expressly granted on the basis that it is without prejudice to any future decision on this or any similar or related matter.
- (l) Any change of circumstances to be notified
- (m) The grant to be without prejudice to any other application.

The waiver is granted for a period of three years, but is revocable upon reasonable notice.

**DATED:** 14 January 2019

**SIGNED:** 

**Maria Round**  
**Head of Guidance**  
**Professional Ethics**  
**Ref: R/PE/SAN/Waivers/004495-18**